



## Senate

General Assembly

February Session, 2004

**File No. 596**

Senate Bill No. 605

*Senate, April 15, 2004*

The Committee on Appropriations reported through SEN. HARP of the 10th Dist., Chairperson of the Committee on the part of the Senate, that the substitute bill ought to pass.

### **AN ACT CONCERNING PERFORMANCE-BASED BUDGETING AND LEGISLATIVE OVERSIGHT OF FEDERAL FUNDS.**

Be it enacted by the Senate and House of Representatives in General Assembly convened:

- 1 Section 1. (NEW) (*Effective July 1, 2004*) The Secretary of the Office of
- 2 Policy and Management shall establish a program for the purpose of
- 3 measuring the performance of each program administered by the state
- 4 for which any budgeted state agency receives an appropriation of one
- 5 million dollars or more in any fiscal year. The secretary shall: (1)
- 6 Assure that each such budgeted state agency develops a program plan
- 7 that includes goals, objectives and performance measures for each such
- 8 program, administered by the agency; (2) assure that the goals,
- 9 objectives and performance measures included in each such agency's
- 10 plan address performance information needs identified pursuant to
- 11 section 2 of this act; (3) assure that each such agency collects data on
- 12 the performance measures included in the agency's plan; (4) assure
- 13 that an annual report is prepared by each such agency on the programs
- 14 administered by the agency based on an analysis of the performance

15 data included in the agency's plan, and (5) beginning September 1,  
 16 2005, and biennially thereafter, assure that such report is submitted, in  
 17 accordance with section 11-4a of the general statutes, to the joint  
 18 standing committees of the General Assembly having cognizance of  
 19 matters relating to appropriations and the budgets of state agencies  
 20 and government administration and elections, and the joint standing  
 21 committee of the General Assembly having cognizance of matters  
 22 relating to the agency.

23 Sec. 2. (NEW) (*Effective July 1, 2004*) Not later than January 1, 2005,  
 24 and annually thereafter, the joint standing committees of the General  
 25 Assembly having cognizance of matters relating to appropriations and  
 26 government administration and elections, and the joint standing  
 27 committee of the General Assembly having cognizance of matters  
 28 relating to a state agency required to prepare a performance-based  
 29 budget pursuant to section 1 of this act, shall identify their  
 30 performance information needs with respect to the program  
 31 administered by such agency and shall submit a report of such needs  
 32 to the Secretary of the Office of Policy and Management and the  
 33 department head of such state agency.

34 Sec. 3. (NEW) (*Effective July 1, 2004*) Not later than January 1, 2005,  
 35 and annually thereafter, each budgeted state agency shall submit a  
 36 report to the joint standing committee of the General Assembly having  
 37 cognizance of matters relating to appropriations and the budgets of  
 38 state agencies, through the legislative Office of Fiscal Analysis, which  
 39 sets forth for the last-completed fiscal year, (1) the federal funds for  
 40 which the agency was eligible to apply and the purposes for which  
 41 such funds were available, (2) the applications filed by the agency for  
 42 such funds, (3) the amount of such federal funds received, and (4) the  
 43 purposes for which such funds were used.

This act shall take effect as follows:	
Section 1	<i>July 1, 2004</i>
Sec. 2	<i>July 1, 2004</i>
Sec. 3	<i>July 1, 2004</i>

**APP**      *Joint Favorable*

The following fiscal impact statement and bill analysis are prepared for the benefit of members of the General Assembly, solely for the purpose of information, summarization, and explanation, and do not represent the intent of the General Assembly or either House thereof for any purpose:

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### ***OFA Fiscal Note***

#### ***State Impact:***

<b>Agency Affected</b>	<b>Fund-Effect</b>	<b>FY 05 \$</b>	<b>FY 06 \$</b>
Policy & Mgmt., Off.	GF - Cost	Potential Significant	Potential Significant
Various State Agencies	GF - Cost	Potential Significant	Potential Significant
Legislative Mgmt.	GF - Cost	Minimal	Minimal

Note: GF=General Fund

***Municipal Impact:*** None

#### ***Explanation***

The bill requires the Office of Policy and Management to establish a program to measure the performance of each agency with appropriations of \$1 million or more. OPM will incur potentially significant cost to develop such a program, and agencies will incur costs to collect and report information. The committees of Appropriations, Government Administration and Elections and those with cognizance over the relevant budgeted state agency, must identify performance information needs and submit them to OPM. This will result in minimal costs for legislator mileage reimbursement. Requiring agencies to submit federal funds information, applications for funds, may result in increased costs to some affected agencies.

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**OFA Bill Analysis**

SB 605

***AN ACT CONCERNING PERFORMANCE-BASED BUDGETING  
AND LEGISLATIVE OVERSIGHT OF FEDERAL FUNDS.*****SUMMARY:**

The bill requires the Office of Policy and Management to establish a program to measure the performance of each agency with appropriations of \$1 million or more. The committees of Appropriations, Government Administration and Elections and those with cognizance over the relevant budgeted state agency, must identify performance information needs and submit them to OPM. Finally, the bill requires agencies to submit federal funds information, applications for funds, to the legislative Office of Fiscal Analysis.

EFFECTIVE DATE: July 1, 2004

**COMMITTEE ACTION**

Appropriations Committee

Joint Favorable Report

Yea 41      Nay 9